



Transfer Agent curriculum

Introduction

Over the past decades, the landscape of the investment fund industry has been reshaping itself, not only adapting to the evolving needs and expectations of investors and distributors but also adopting technological disruptors. Along with that shift have come changes in the way the Transfer Agents (TAs) operate, organise, manage risk, implement regulate and interact with other stakeholders of the investment funds value chain.

In close collaboration with PwC’s subject matter experts, PwC’s Academy has designed a multilayer impactful training curriculum for junior and more experienced transfer agency staff, managers and executives who wish to develop further and efficiently in their role or look forward to the upcoming trends. Similarly, it shall help other stakeholders working in the investment funds business acquire a good understanding of the transfer agency business.

Your reasons why

Acquire new skills and competencies relevant to the transfer agent role	Gain a deeper and more insightful information on this business
Navigate and understand the regulatory framework	Identify opportunities to improve internal organisational processes
Obtain a sufficient level of factual consideration to make informed business decisions	Navigate the complex landscape of funds’ industry governance and compliance



PwC’s Academy

Description

Our pedagogic approach embraces an extensive learning universe, offering participants the flexibility to blend digital and live formats for an optimal and personalised learning experience.

To answer your questions and training needs at different stages of your career, our curriculum offers a learning experience over 3 levels: Foundation, Knowledge & Competence and Fit & Proper.

Made of complementary and modular building blocks, our curriculum will help you identify the best learning solution for you and your teams.

They are proposed as comprehensive training programmes, but individual training modules can also be selected as standalone courses to meet specific needs. The Academy can help you create a customised pathway to align with your objectives.

Objectives

By the end of this curriculum, participants will:

- Understand Luxembourg funds industry market characteristics, including challenges and future transformations.
- Gain detailed insights into TA department roles, responsibilities, and operational processes.
- Examine the origin, evolution, and models of transfer agent activity, along with interactions within the Luxembourg fund industry.
- Familiarise with laws, regulations, and best practices governing investment funds, especially TA activities.
- Understand ESG and other relevant reporting requirements for investment funds.
- Have a comprehensive understanding of operational risks linked to transfer agency activities.
- Identify main forces shaping the TA industry and current trends in TA service offerings.
- Develop understanding of modern technologies such as DLT and their potential application to the TA landscape.





Our modular training offering

		Modules				
Transfer Agent curriculum	Level 1 Foundation	Investment funds industry	Investment funds - introduction and market data (1h)	Investment funds - Actors, roles & responsibilities (1h)	Investment funds - Operational aspects (1h)	Investment funds - Distribution platform (1h)
		Investment funds regulation	MiFID II: the essentials (2h)	AML - Follow the money (e-learning) (1h)	Automatic exchange of information (FATCA and CRS) - Basic principles (3h)	GDPR essentials (digital learning) (1h20)
	Level 2 Knowledge & Competence	Common to both UCITS and AIFs	Tax aspects of investors onboarding: DAC6 to DAC8, FATCA/GRS and AML (2h)	Regulatory framework (UCITS and AIFs) (2h)	GDPR hot topics in Luxembourg and Europe (1h)	
		Learning path UCITS	Transfer Agent: roles and responsibilities (1h30)	Transfer Agent: operations and support teams (3h)	Operational risks in TA (1h30)	
		Learning path AIFs	Duties and formalism in TA standard operations for AIFs (3h30)			
	Level 3 Fit & Proper	About AI and Generative AI	Transfer Agent & Distributed Ledger Technology (1h)	Transfer Agency - The Way Ahead (1h)		
		For Board of directors, EXCOM members and C-suite executives				

Explore our Transfer Agent training modules:

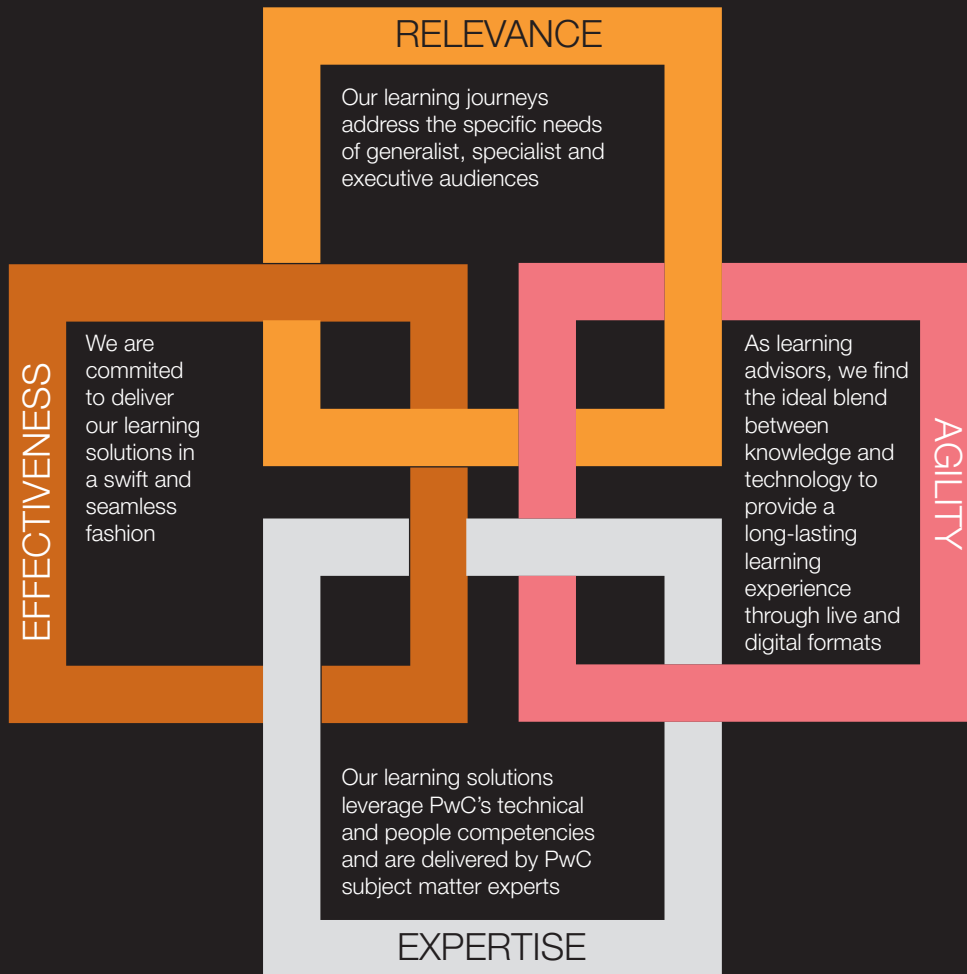


Tailored training programme

PwC's Academy can also instruct your team with the best training solutions on various topics tailored to your needs.



Our value proposition



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